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36672 7590 12/12/2006

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NEW YORK, NY 10038

01/17/2007 RMBRAH1 00000022 10714099

01 FC:1501 1400.00 OP
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Charles E. Baxley

(Depositor's name)

Charles E. Baxley

(Signature)

January 10, 2007

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/714.099	11/13/2003	Wang Chin-Pei	14050 B	9512

TITLE OF INVENTION: RETAINING DEVICE FOR ROLLING-ELEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	03/12/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
JOYCE, WILLIAM C	3682	384-051000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Charles E. Baxley**

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Hiwin Mikrosystem Corp.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**No. 46, 37th Rd., Taichung Industry Park
 Taichung, Taiwan R.O.C.**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Charles E. Baxley

Date **January 10, 2007**

Typed or printed name

Charles E. Baxley

Registration No. **20,149**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant : Chin-Pei WANG Docket Number : 14015 B
Serial No. : 10/714,099 Examiner : William C. JOYCE
Filed : November 13, 2003 Art Unit : 3682
For : RETAINING DEVICE FOR ROLLING-ELEMENT

Transmitted herewith is/are the following:

x PART B - FEE(S) TRANSMITTAL w/MAILING CERTIFICATE;

x Check in the amount of \$ 1,700.00;

x Other Acknowledgment Postcard.

x The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

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Respectfully,

Dated: January 10, 2007
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CEB:lp/14050 B